ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18 Sylesheet Version v18.0

MAR 2 9 2004

Title of PRADEM Invention COMPOSITIONS AND DEVICES FOR THERMOOPTICALLY CONTROLLED SWITCHING AND **FILTERING**

Application Number:

10/625183

Confirmation Number:

8238

First Named Applicant:

David STONE

Attorney Docket Number: L013P00612US1

Art Unit:

2874

Search string:

(4418165 or 4906696 or 4984870 or 5266352 or 5307438 or 5588083 or 5658966 or 5661210 or 5692088 or 5783115 or 5902715 or 6165389 or 6166125 or 6166156 or 6229949 or 6246809 or 6262706 or 6266460 or 6266475 or 6277147

or 6654188 or 3996187 or 5418640).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
20	1	4418165	1983-11-29	Polmanteer et al.		523	210
Me	2	4906696	1990-03-06	Fischer et al.		525	148
JAK	3	4984870	1991-01-15	Herbrechtsmeier et al.		350	96.34
MR	4	5266352	1993-11-30	Filas et al.		427	163
M	5	5307438	1994-04-26	Bilkadi et al.	:	385	141
744	6	5588083	1996-12-24	Boonstra et al.		385	122
7K	7	5658966	1997-08-19	Tsukamoto et al.		522	99
XX	8	5661210	1997-08-26	Burns et al.		524	493
2K	9	5692088	1997-11-25	Ishiharada et al.		385	125
38	10	5783115	1998-07-21	Bilkadi et al.		252	582
m	11	5902715	1999-05-11	Tsukamoto et al.		430	290
246	12	6165389	2000-12-26	Asher et al.		252	582
705	13	6166125	2000-12-26	Sugiyama et al.		524	462
210	14	6166156	2000-12-26	Wu et al.		526	245

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page 1 of 2

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XX	15	6229949	2001-05-08	ldo et al.		385	145
70	- 16	6246809	2001-06-12	Jouanno et al.		385	22
755	17	6262706	2001-07-17	Albert et al.		345	107
M	18	6266460	2001-07-24	Doerr		385	16
76	19	6266475	2001-07-24	Suzuki et al.		385	145
Xr.	20	6277147	2001-08-21	Christ et al.		623	6.56
746	21	6654188	2003-11-25	Stone	B2	359	888
STR	22	3996187	1976-12-07	Travnicek		260	37
Dre	23	5418640	1995-05-23	Hood `		359	265

Signature

Examiner Name	Date
And Thelles	4/25/05

PTO/SB/08A (08-03)

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Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

U. S. PATENT DOCUMENTS Name of Patentee or Pages, Columns, Lines, Where Examiner Publication Date Cite **Document Number** No. MM-DD-YYYY Applicant of Cited Document Relevant Passages or Relevant Initials* Figures Appear Number-Kind Code² (# known) US US-US-US-US US-US-US-US-US-US-US-US-USus-IIS US-

		FORE	IGN PATENT DOCL	JMENTS		
Examiner tnitials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Π
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°
JR		WO98/37445	08/27/1998	Hitachi, Ltd.		
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Examiner Signature Date Considered 4/25/05

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** 10/625183 INFORMATION DISCLOSURE **Filing Date** 07/23/2003 STATEMENT BY APPLICANT **First Named Inventor** STONE, D. Art Unit (Use as many sheets as necessary) **Examiner Name Attorney Docket Number** Sheet |2 2 L013 P00612-US1 of

		NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
MR	1	L. Eldada and L. Shacklette, "Advances in Polymer Integrated Optics", IEEE J. Selectted Topics in Quantum Electronics, v.6, no.1, pp.54-68, Jan/Feb 2000.			
STR	2	H. Erlig and H. Fetterman, "Polymer Modulators for the 40-Gbit/sec Market", Lightwave, pp.175-176, Aug. 2001			
74K	3	T.Sakata,H.Togo,M.Makihara,F.Shimokawa,K. Kaneko,"Improvement of Switching Time in a Thermocapillarity Optical Switch", J. Lightwave Technol.,v.19, no 7,July 2001,pp.1023-27			
MR	.4	J.Kobayashi, T.Matsuura, Y.Hida, S.Sasaki, T.Maruno, "Fluorinated Polyimide Waveguides with Low Polarization-Dependent Loss and Their Applications to Thermooptic Switches";			
74K		J. Lightwave Technol., v.16, no. 6, pp. 1024-1029, June 1998			
- 72K	5	P.Bessette and D. Stone, "Synthetic Grease" in Synthetic Lubricants and High Performance Functional Fluids, 2nd ed.,L.Rudnick and M.Shubkin,eds.,Marcel Dekker,Inc.,NY,1999			
MR	6	ASTM D-5771, "Standard TEst Method for Cloud Point of Petroleum Products (Optical Detection Stepped Cooling Method)", available from American Society of Testing and Materials,			
sm		100 Barr Harbor Drive, West Conshohocken, PA 19428-2959	•		
72hs	7	D.Stone and P.Bessette, "Liquid Lubricants", in Space Vehicle Mechanism: Elements of Successful Design, P.Conley, ed., John Wiley & Sons, Inc., New York, 1998			

Examiner Signature	en Thill	Date Considered	4/25/05
0.3			

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